

[illegible][illegible]

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/809,315
				Filing Date	March 24, 2004
				First Named Inventor	David M. Durham
				Art Unit	2139
				Examiner Name	Kari L. Schmidt
				Attorney Docket Number	42P19299
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	7	Int'l Application No. PCT/US2005/008975; Attorney Docket No. 42P19299PCT; filed March 18, 2005; Int'l Preliminary Report on Patentability Chapter 1 dated October 5, 2006		
	8	Int'l Application No. PCT/US2005/008616; Attorney Docket No. 42P19298PCT; filed March 14, 2005; Int'l Search Report & Written Opinion dated April 10, 2006		
	9	WILLIAMSON et al.: Virus Throttling; Virus Bulletin, March 2003, 4 pages, Vol. 8, Virus Bulletin Ltd, The Petnagon, Abindgon, Oxfordshire		
	10	TWYXCROSS et al.: Implemeenting and Testing a Virus Throttle, Proceedings 12th USENIX Security Symposium, August 4-8, 2003, 11 pgs, Wash D.C., HPL-2003-103, May		
	11	WILLIAMSON: Design, Implementation and Test of an Email Virus Throttle, HPL-2003-118, June 30, 2003, 10 pgs		
	12	WILLIAMSON et al.: Virus Throttling for Instant Messaging, Virus Bulletin Conference, September 2004, pages 1-9, HPL-2004-81, May 5, 2004, Chicago, IL		
	13	WILLIAMSON: Throttling Viruses: Restricting Propagation to Defeat Malicious Mobile Code, HPL-2002-172, June 17th, 2002, pgs 1-6		
	14	WILLIAMSON: Throttling Viruses: Restricting Propagation to Defeat Malicious Mobile Code, ACSAC Conference, December 2002, pages 1-8, Las Vegas, NV		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450